

Procedure Year: 2025

Procedure Status: Confirmed by PAC/Board

Procedure Type: Design

Record Owner: Alany Figueroa

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Procedure Rating: Moderate

Procedure Name: ASM-15005

Procedure Department: CO - Honduras - Tegucigalpa

Last Modified by: Ivan ZVERZHANOVSKI,5/20/2025, 7:05 AM

RELATED PORTFOLIO MYWPS/PROJECTS(1)					
NAME	DEPARTMENT	STATUS	ATLAS PROJECT NUMBER	START DATE	END DATE
01004106	CO - Honduras - Tegucigalpa	On Going		3/31/2025	3/31/2028

RELATED QAS(1)		
PARENT PROCEDURE	PROCEDURE TYPE	PROCEDURE YEAR
ASM-15007	Quality Assurance - Design	2025

Triggered Requirements:

Assessment

Status: Complete

Is assessment required? (Question only required for Moderate, Substantial and High Risk portfolio MYWPs/projects)

Targeted assessment(s) required?

No

If yes, please indicate the status of required assessment(s)?

Comments (for instance, please indicate the assessment type) (10000 Characters maximum)

Environmental and Social Impact Assessment (ESIA) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Strategic Environmental and Social Assessment (SESA) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Are management plans required? (Question only required for Moderate, Substantial and High Risk portfolio MYWPs/projects)

Targeted management plan(s) required?

No

If yes, please indicate the status of required management plan(s)?

Comments (for instance, please indicate the type of management plan) (10000 Characters maximum)

Environmental and Social Management Plan (ESMP) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Environmental and Social Management Framework (ESMF) required?

No

If yes, please indicate the status?

Comments (10000 Characters maximum)

Identified Risks & Treatments:

Principle & Standard: Human Rights

Risk Significance:  Moderate

Triggered Principles: 

Risk Significance:  Moderate

Event:

Criminalización y riesgo a la vida de las defensoras y defensores de los bienes comunes

Causes:

La debilidad institucional, la baja aplicabilidad de la ley y la falta de transparencia en la adjudicación de las concesiones

Impact:

Riesgo de libertad e integridad de las defensoras y los defensores de los bienes comunes, poniendo en riesgo su libertad e integridad

RELATED TREATMENTS(1)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMEI
El proyecto en su resultado 1 tiene contemplado el fortalecimiento del marco metodológico de las capacidades institucionales, de los procesos de transparencia, y la formación de las mesas institucionales para el abordaje pacífico de conflictos desde el enfoque de género y derechos humanos. Incluye entre otras actualizaciones de planes de seguridad, y activación de alertas, y otros mecanismos (guías, protocolos de resolución de conflictos socioambientales, guía de respuestas, vigilancia ambiental y espacios participativos) en la gestión de gobiernos locales para la transformación positiva de conflictos socioambientales relacionados con procesos mineros e integrando enfoque de género, paz y derechos humanos)		4/30/2028	Ongoing	

Risk Significance:  Low

Event:

Personal sufra incidentes de seguridad

Causes:

Debido al cambio que el proyecto genera

Impact:

El personal pone en riesgo su integridad física y social

RELATED TREATMENTS(1)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMEI
El trabajo continuo con los socios implementador, que incluye reuniones en las cuales se aborda integralmente el relacionamiento con las comunidades. Además, la socialización considera el trato con las comunidades, que incluye transmitir de manera transparente el alcance del proyecto. También, los intercambios de experiencia permitirán a la comunidad tener una visión hacia el futuro de mejoras en la situación presente, visualizando con optimismo la intervención del proyecto con un énfasis en mujeres y juventudes. Tomar los cursos de seguridad de NNUU; cumplir con las políticas y protocolos de seguridad de las agencias; asegurar que el personal cuente con seguros médicos y de vida.		4/30/2028	Ongoing	

Principle & Standard: Gender Equality and Women's Empowerment

Risk Significance:  Moderate

Triggered Principles: 

Risk Significance:  Moderate

Event:

Las mujeres sufren situaciones de violencia

Causes:

La temática que introduce el proyecto, sobre todo, la participación en algunas de los eslabones de la cadena de valor de la minería en donde se generan mayores recursos

Impact:

Posibles daños físicos, psicológicos y sociales

RELATED TREATMENTS(1)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMEI
Se implementarán procesos de formación para prevenir la violencia, a nivel de la policía y comunitario. Se capacitará en temas de masculinidades, se socializarán protocolos en caso de violencia. Se trabajará en el empoderamiento de la mujer y juventud para mitigar este riesgo. Además, se revisará y actualizará la Ley de la Minería, con enfoque en género y derechos humanos. Activación de protocolos de atención de CONADEH; activar acciones de atención a mujeres; Activación de redes de abogadas defensoras		4/30/2028	Ongoing	

Risk Significance:  Moderate

Event:

Se refuerzan los roles y estereotipos de género y juventud

Causes:

Las actividades generan afectaciones especiales para las jóvenes y mujeres

Impact:

Se cierran las oportunidades de participación de las mujeres y jóvenes en el sector minero

RELATED TREATMENTS(1)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMEI
La elaboración de una línea base generará elementos para desarrollar análisis interseccionales de género para la vinculación y fomento		4/30/2028	Ongoing	

RELATED TREATMENTS(1)				
ACTIVITIES FOR TREATMENT	EXPECTED EFFECT	TIME PLAN FOR COMPLETE	STATUS	COMMEI
de liderazgos de jóvenes y mujeres. Se capacitará en temas de masculinidades. Se trabajará en el empoderamiento de la mujer y juventud en nuevos liderazgos.				

Questionnaire:

Step 1 - Integrate the SES Programming Principles

Status: Complete

QUESTION 1: How Does the Portfolio MYWP/Project Integrate the Programming Principles in Order to Strengthen Social and Environmental Sustainability?

Briefly describe in the space below how the portfolio MYWP/project mainstreams the human rights-based approach (32000 Characters maximum)

El análisis de conflicto con perspectiva de género destaca la urgencia de abordar los factores impulsores de las tensiones en torno a la minería en Honduras. La inclusión de mujeres y jóvenes en los procesos de consulta y toma de decisiones, junto con medidas para proteger los derechos humanos y el medio ambiente, son fundamentales para avanzar hacia una gestión más sostenible de los recursos naturales y para la prevención y gestión efectiva y pacífica de las conflictividades en el país.

Se busca la transformación positiva de conflictos a través del fortalecimiento e incremento de las capacidades de las instituciones nacionales y autoridades locales, y municipales, vinculados a la protección del medio ambiente y de los territorios en procesos mineros desde un enfoque de género y de derechos humanos.

Briefly describe in the space below how the portfolio MYWP/project is likely to improve gender equality and women's empowerment (32000 Characters maximum)

Un 80%, un total de 2,401,719.26 dólares, del presupuesto aprobado ha sido asignado a actividades en pos de la igualdad de género y el empoderamiento de las mujeres.

La igualdad de género y el empoderamiento de las mujeres será transversal en todas las actividades del Proyecto, al analizar los conflictos se determinó el impacto negativo que reciben las mujeres en el ámbito MAPE de oro, ya que las mujeres permanecen al margen de los beneficios que de esta actividad se genera, fortaleciéndose patrones machistas las convierten en víctimas de violencia.

Las iniciativas del proyecto tendrán un enfoque género responsivo y formará parte integral de todos los planes de fortalecimientos de capacidades a nivel institucional y comunitario, involucrando a los hombres a través del enfoque de nuevas masculinidades y a las mujeres a través del empoderamiento. Este fortalecimiento buscará el aumento de capacidades para incidir en la gestión de conflictos con un enfoque diferenciado en los posibles impactos sobre la vida de las mujeres y niñas. En coordinación con SEMUJER se fortalecerán las estructuras de género de las instituciones relacionadas con el otorgamiento de permisos para los procesos mineros, incluyendo al INHGEOMIN, la SERNA y gobiernos locales de los municipios seleccionados, con el objetivo de que integren el enfoque de género en la transformación positiva de conflictos socioambientales relacionados con la actividad minera. Se investigará y documentará el involucramiento de mujeres en el sistema minero en territorios piloto, para fortalecer su participación en los procesos mineros y prevenir conflictividades. Se

desarrollarán también acciones afirmativas vinculadas al modelo minero, en donde las mujeres recibirán asistencia técnica y apoyo para iniciativas que se puedan vincular a la cadena de valor de minería artesanal y a pequeña escala de oro. Se promoverá el rol de las mujeres para contribuir a la prevención de las conflictividades en comunidades mineras.

Briefly describe in the space below how the portfolio MYWP/project mainstreams sustainability and resilience (32000 Characters maximum)

El fortalecimiento de los Entes estatales y gobiernos locales permitirá que la capacidad instalada asigne recursos económicos, técnicos y humanos poniéndolos a disponibilidad de las mejoras desarrolladas, colocando presupuesto, personal, tiempo y disposición para seguir acompañando a la resolución de conflictos pacíficos de forma participativa.

- Las UMAs y OMMs apoyadas con AMHON, SERNA e INGEOHMIN asumirán la función de acompañamiento técnico – administrativo una vez que el proyecto se retire, para ello el proyecto se asegurará de implementar un proceso de desarrollo de competencias organizacionales y de desarrollo organizacional, formación en conocimientos de normativas vigentes y su aplicación, así como la importancia de la inclusión de las mujeres en los procesos de toma de decisión. Quedaran institucionalizados, protocolos, manuales y normativa que se promueva a través del proyecto.
- Firma de acta de compromiso por parte de las redes de mujeres, mineros MAPE de oro donde se comprometen a continuar con la inversión.
- Disposición de documentos e informes relacionados con lecciones aprendidas. Elaboración de un documento(s) que sistematiza la o las lecciones aprendidas (de experiencias identificadas sean positivas o negativas, durante la ejecución del proyecto).
- Empoderamiento interinstitucional de las mesas de dialogó, con énfasis en OSC y organizaciones de mineros/as, redes y/o organizaciones de mujeres, patronatos y juntas de agua como una estrategia de que estas puedan ser convocadas y retomadas en cambios de gobiernos local y/o central

Briefly describe in the space below how the portfolio MYWP/project strengthens accountability to stakeholders (32000 Characters maximum)

Transparencia y acceso a la información: Se desarrollará un PORTAL para brindar información sobre procesos de otorgamiento de derechos mineros y licencias ambientales. Se vinculará con información ambiental de las instituciones nacionales y locales, y se modernizarán los mecanismos de quejas y transparencia en instituciones y municipalidades.

Se realizará una evaluación final externa que tendrá como base el marco de indicadores para los resultados del Proyecto y el grupo de preguntas evaluativas recomendadas entre las agencias implementadoras y el Secretariado del Fondo para la Consolidación de la Paz, así como de la alineación con el MER y sus indicadores. y los protocolos y estándares para la realización de evaluaciones externas e independientes.

Step 2.1 - SES Risk Screening Checklist (Programming Principles)

Status: Complete

Human Rights

P.1 Have local communities or individuals raised human rights concerns regarding the portfolio MYWP/project (e.g. during the stakeholder engagement process, grievance processes, public statements)?

No

P.2 Is there a risk that duty-bearers (e.g. government agencies) do not have the capacity to meet their obligations in the portfolio MYWP/project?

Yes

P.3 Is there a risk that rights-holders (e.g. persons affected by programming activities) do not have the capacity to claim their rights?

No

Would the portfolio MYWP/project potentially involve or lead to:

P.4 Adverse impacts on enjoyment of the human rights (civil, political, economic, social or cultural) of the affected population and particularly of marginalized groups?	Yes
P.5 Inequitable or discriminatory impacts on affected populations, particularly people living in poverty or marginalized or excluded individuals or groups, including persons with disabilities?	No
P.6 Restrictions in availability, quality of and/or access to resources or basic services, in particular to marginalized individuals or groups, including persons with disabilities?	No
P.7 Exacerbation of conflicts among and/or the risk of violence to affected communities and individuals?	Yes
Gender Equality and Women's Empowerment	
P.8 Have women's groups/leaders raised gender equality concerns regarding the portfolio MYWP/project, (e.g. during the stakeholder engagement process, grievance processes, public statements)?	Yes
Would the portfolio MYWP/project potentially involve or lead to:	
P.9 Adverse impacts on gender equality and/or the situation of women and girls?	No
P.10 Reproducing discriminations against women based on gender, especially regarding participation in design and implementation or access to opportunities and benefits?	Yes
P.11 Limitations on women's ability to use, develop and protect natural resources, taking into account different roles and positions of women and men in accessing environmental goods and services?	No
P.12 Exacerbation of risks of gender-based violence?	Yes
Sustainability and Resilience: Screening questions regarding risks associated with sustainability and resilience are encompassed by the Standard-specific questions below	
Accountability	
Would the portfolio MYWP/project potentially involve or lead to:	
P.13 Exclusion of any potentially affected stakeholders, in particular marginalized groups and excluded individuals (including persons with disabilities), from fully participating in decisions that may affect them?	No
P.14 Grievances or objections from potentially affected stakeholders?	No
P.15 Risks of retaliation or reprisals against stakeholders who express concerns or grievances, or who seek to participate in or to obtain information on the project/portfolio?	No

Step 2.2 - SES Risk Screening Checklist (Standards)	Status: Complete
Standard 1: Biodiversity Conservation and Sustainable Natural Resource Management	
Would the portfolio MYWP/project potentially involve or lead to:	
1.1 Adverse impacts to habitats (e.g. modified, natural, and critical habitats) and/or ecosystems and ecosystem services?	No
1.2 Activities within or adjacent to critical habitats and/or environmentally sensitive areas, including (but not limited to) legally protected areas (e.g. nature reserve, national park), areas proposed for protection, or recognized as such by authoritative sources and/or indigenous peoples or local communities?	No
1.3 Changes to the use of lands and resources that may have adverse impacts on habitats, ecosystems, and/or livelihoods?	No

1.4 Risks to endangered species?	No
1.5 Exacerbation of illegal wildlife trade?	No
1.6 Introduction of invasive alien species?	No
1.7 Adverse impacts on soil?	No
1.8 Harvesting of natural forests, plantation development, or reforestation?	No
1.9 Significant agricultural production?	No
1.10 Animal husbandry or harvesting of fish populations or other aquatic species?	No
1.11 Significant extraction, diversion or containment of surface or ground water?	No
1.12 Handling or utilization of genetically modified organisms/living modified organisms?	No
1.13 Utilization of genetic resources?	No
1.14 Adverse transboundary or global environmental concerns?	No

Standard 2: Climate Change and Disaster Risks

Would the portfolio MYWP/project potentially involve or lead to:

2.1 Areas subject to hazards such as earthquakes, floods, landslides, severe winds, storm surges, tsunami or volcanic eruptions?	No
2.2 Outputs and outcomes sensitive or vulnerable to potential impacts of climate change or disasters	No
2.3 Direct or indirect increases in vulnerability to climate change impacts or disaster risks now or in the future (also known as maladaptive or negative coping practices)?	No
2.4 Increases of greenhouse gas emissions, black carbon emissions or other drivers of climate change?	No

Standard 3: Community Health, Safety and Security

Would the portfolio MYWP/project potentially involve or lead to:

3.1 Construction and/or infrastructure development (e.g. roads, buildings, dams)?	No
3.2 Air pollution, noise, vibration, traffic, injuries, physical hazards, poor surface water quality due to runoff, erosion, sanitation?	No
3.3 Harm or losses due to failure of structural elements of the programming activities (e.g. collapse of buildings or infrastructure)?	No
3.4 Risks of water-borne or other vector-borne diseases (e.g. temporary breeding habitats), communicable and noncommunicable diseases, nutritional disorders, mental health?	No
3.5 Transport, storage, and use and/or disposal of hazardous or dangerous materials (e.g. explosives, fuel and other chemicals during construction and operation)?	No
3.6 Adverse impacts on ecosystems and ecosystem services relevant to communities' health (e.g. food, surface water purification, natural buffers from flooding)?	No
3.7 Influx of portfolio MYWP/project workers to targeted areas?	No
3.8 Engagement of security personnel to protect facilities and property or to support portfolio MYWP/project activities?	No

Standard 4: Cultural Heritage

Would the portfolio MYWP/project potentially involve or lead to:

4.1 Activities adjacent to or within a Cultural Heritage site?	No
4.2 Significant excavations, demolitions, movement of earth, flooding or other environmental changes?	No
4.3 Adverse impacts to sites, structures, or objects with historical, cultural, artistic, traditional or religious values or intangible forms of culture (e.g. knowledge, innovations, practices)? (Note: portfolio MYWPs/projects intended to protect and conserve Cultural Heritage may also have inadvertent adverse impacts)	No
4.4 Alterations to landscapes and natural features with cultural significance?	No
4.5 Utilization of tangible and/or intangible forms (e.g. practices, traditional knowledge) of Cultural Heritage for commercial or other purposes?	No

Standard 5: Displacement and Resettlement

Would the portfolio MYWP/project potentially involve or lead to:

5.1 Temporary or permanent and full or partial physical displacement (including people without legally recognizable claims to land)?	No
5.2 Economic displacement (e.g. loss of assets or access to resources due to land acquisition or access restrictions - even in the absence of physical relocation)?	No
5.3 Risk of forced evictions?	No
5.4 Impacts on or changes to land tenure arrangements and/or community based property rights/customary rights to land, territories and/or resources?	No

Standard 6: Indigenous Peoples

Would the portfolio MYWP/project potentially involve or lead to:

6.1 Areas where indigenous peoples are present (including project area of influence)?	No
6.2 Activities located on lands and territories claimed by indigenous peoples?	No
6.3 Impacts (positive or negative) to the human rights, lands, natural resources, territories, and traditional livelihoods of indigenous peoples (regardless of whether indigenous peoples possess the legal titles to such areas, whether the programming activities are located within or outside of the lands and territories inhabited by the affected peoples, or whether the indigenous peoples are recognized as indigenous peoples by the country in question)?	No
6.4 The absence of culturally appropriate consultations carried out with the objective of achieving FPIC on matters that may affect the rights and interests, lands, resources, territories and traditional livelihoods of the indigenous peoples concerned?	No
6.5 The utilization and/or commercial development of natural resources on lands and territories claimed by indigenous peoples?	No
6.6 Forced eviction or the whole or partial physical or economic displacement of indigenous peoples, including through access restrictions to lands, territories, and resources?	No
6.7 Adverse impacts on the development priorities of indigenous peoples as defined by them?	No
6.8 Risks to the physical and cultural survival of indigenous peoples?	No
6.9 Impacts on the Cultural Heritage of indigenous peoples, including through the commercialization or use of their traditional knowledge and practices?	No

Standard 7: Labour and Working Conditions

Would the portfolio MYWP/project potentially involve or lead to: (note: applies to portfolio MYWP, project and contractor workers)

7.1 Working conditions that do not meet national labour laws and international commitments?	No
7.2 Working conditions that may deny freedom of association and collective bargaining?	No
7.3 Use of child labour?	No
7.4 Use of forced labour?	No
7.5 Discriminatory working conditions and/or lack of equal opportunity?	No
7.6 Occupational health and safety risks due to physical, chemical, biological and psychosocial hazards (including violence and harassment) throughout the portfolio MYWP/project life-cycle?	No

Standard 8: Pollution Prevention and Resource Efficiency

Would the portfolio MYWP/project potentially involve or lead to:

8.1 The release of pollutants to the environment due to routine or non-routine circumstances with the potential for adverse local, regional, and/or transboundary impacts?	No
8.2 The generation of waste (both hazardous and non-hazardous)?	No
8.3 The manufacture, trade, release, and/or use of hazardous materials and/or chemicals?	No
8.4 The use of chemicals or materials subject to international bans or phase-outs?	No
8.5 The application of pesticides that may have a negative effect on the environment or human health?	No
8.6 Significant consumption of raw materials, energy, and/or water?	No

Approval History

Approval Date: Tue May 20 00:00:00 GMT 2025

Approved By: Jenny Maria Berganza Trejo
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Confirmed Date: 5/20/2025

Confirmed By: Ivan ZVERZHANOVSKI
<ivan.zverzhanovski@undp.org>

PAC/Board Date: 5/14/2025

PAC/Board Meeting minutes uploaded:

STEP NAME	DATE	STATUS	ASSIGNED TO
Confirmed by PAC/Board	2025-05-20 07:05:04	Approved	Ivan ZVERZHANOVSKI
Cleared for PAC/Board	2025-05-20 06:21:05	Approved	Jenny Maria Berganza Trejo
Approval Request Submitted	2025-04-11 08:43:19	Started	Gerardo Cerrato